

Item 1 Cover Page

A.

William Walsh

LGT Financial Advisors LLC

Brochure Supplement

Dated: March 31, 2022

Contact: Scott Gunn, Chief Compliance Officer
2626 Howell Street, Suite 700
Dallas, Texas 75204

B.

This brochure supplement provides information about William Walsh that supplements the LGT Financial Advisors LLC brochure; you should have received a copy of that brochure. Please contact Scott Gunn, Chief Compliance Officer if you did *not* receive LGT Financial Advisors LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about William Walsh is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

William Walsh was born in 1955. Mr. Walsh graduated from Texas Tech University in 1978, with a Bachelor of Business Administration degree in Accounting. Mr. Walsh has been an investment adviser representative of LGT Financial Advisors LLC since December of 1998 and was the Managing Member until January 2016. Mr. Walsh has also been a registered representative of Investment Security Corporation since November of 2012 and a Partner of Lane Gorman Trubitt LLC since September of 1987. From March of 2013 to June of 2018, Mr. Walsh was an investment adviser representative of Lido Advisors, Inc. From July of 2009 to December of 2012, he was a registered representative of Girard Securities, Inc.

Mr. Walsh has held the designation of Certified Public Accountant ("CPA") since August 1980. CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA

generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous Code of Professional Conduct which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's Code of Professional Conduct within their state accountancy laws or have created their own.

In addition to the Code of Professional Conduct, AICPA members who provide personal financial planning services are required to follow the Statement on Standards in Personal Financial Planning Services (SSPFPS).

Mr. Walsh has been designated as a Personal Financial Specialist ("PFS") since January 2011. The PFS credential demonstrates that an individual has met the minimum education, experience and testing required of a CPA in addition to a minimum level of expertise in personal financial planning. To attain the PFS credential, a candidate must hold an unrevoked CPA license, certificate, or permit, none of which are in inactive status; fulfill 3,000 hours of personal financial planning business experience; complete 75 hours of personal financial planning CPE credits; pass a comprehensive financial planning exam and be an active member of the AICPA. A PFS credential holder is required to adhere to AICPA's Code of Professional Conduct and the Statement on Standards in Personal Financial Planning Services, when providing personal financial planning services. To maintain their PFS credential, the recipient must complete 60 hours of financial planning CPE credits every three years. The PFS credential is administered through the AICPA.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. **Registered Representative of ISC.** Mr. Walsh is a registered representative of Investment Security Corporation ("ISC"), an SEC Registered and FINRA member broker-dealer. Clients may choose to engage Mr. Walsh in his individual capacity as a registered representative of Investment Security Corporation, to implement investment recommendations on a commission basis.

1. **Conflict of Interest** The recommendation by Mr. Walsh that a client purchase a securities commission product presents a *conflict of interest*, as the receipt of commissions may provide an incentive to recommend investment products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any commission products from Mr. Walsh. Clients are reminded that they may purchase investment products recommended by Mr. Walsh through other, non-affiliated broker dealers. **The Registrant's Chief Compliance Officer, Scott Gunn, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**
 2. **Commissions.** In the event the client chooses to purchase investment products through *ISC*, brokerage commissions will be charged by *ISC* to effect securities transactions, a portion of which commissions shall be paid by *ISC* to Mr. Walsh. The brokerage commissions charged by *ISC* may be higher or lower than those charged by other broker-dealers. The securities commission business conducted by Mr. Walsh is separate and apart from Registrant's investment management services discussed in the Registrant's *Brochure*.
- B. **Certified Public Accountant (CPA).** Mr. Walsh, in his individual capacity, is a certified public accountant, and may recommend his tax preparation and/or accounting services. Mr. Walsh is a Partner with Lane Gorman Trubitt, PLLC, an affiliated accounting firm, at which Mr. Walsh spends about half of his time providing accounting services. To the extent a client determines to engage Mr. Walsh to provide tax preparation and/or accounting services, such services shall be provided by Mr. Walsh in his individual capacity as a certified public accountant, independent of Registrant. Registrant shall receive no portion of fees charged by Mr. Walsh for such services.

The recommendation by Mr. Walsh that a client engage his accounting services presents a conflict of interest, as the receipt of fees for accounting services may provide an incentive to recommend such services, rather than recommending such services based upon a particular client's needs. No client is under any obligation to utilize Mr. Walsh for accounting services. Clients are reminded that they may elect to obtain accounting services recommended by the Registrant through other non-affiliated certified public accountants. **The Registrant's Chief Compliance Officer, Scott Gunn, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

Item 5 Additional Compensation

None.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Scott Gunn, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Gunn at (214) 461-1539.

Item 1 Cover Page

A.

Michael S. Radoff

LGT Financial Advisors LLC

Brochure Supplement

Dated: March 31, 2022

Contact: Scott Gunn, Chief Compliance Officer
2626 Howell Street, Suite 700
Dallas, Texas 75204

B.

This brochure supplement provides information about Michael S. Radoff that supplements the LGT Financial Advisors LLC brochure; you should have received a copy of that brochure. Please contact Scott Gunn, Chief Compliance Officer if you did *not* receive LGT Financial Advisors LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Michael S. Radoff is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Michael S. Radoff was born in 1954. Mr. Radoff graduated from University of Texas, Austin in 1978, with a Bachelor of Business Administration degree in Accounting. Mr. Radoff has been an Advisory Representative of LGT Financial Advisors LLC since May of 2005 and was a Member until 2016. From March of 2009 to December of 2019, Mr. Radoff was a licensed insurance agent of LGT Insurance Services Inc. From November of 2012 to December of 2019, Mr. Radoff was a registered representative of Investment Security Corporation. From November of 1979 to December of 2019, Mr. Radoff was a Partner of Lane Gorman Trubitt LLC. From June of 2009 to December of 2012, Mr. Radoff was a registered representative of Girard Securities, Inc.

Mr. Radoff has held the designation of Certified Public Accountant ("CPA") since January 1981. CPAs are licensed and regulated by their state boards of accountancy. While state laws and

regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous Code of Professional Conduct which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's Code of Professional Conduct within their state accountancy laws or have created their own.

In addition to the Code of Professional Conduct, AICPA members who provide personal financial planning services are required to follow the Statement on Standards in Personal Financial Planning Services (SSPFPS).

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

None.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section

203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Scott Gunn, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Gunn at (214) 461-1539.

Item 1 Cover Page

A.

Richard Trubitt

LGT Financial Advisors LLC

Brochure Supplement

Dated: March 31, 2022

Contact: Scott Gunn, Chief Compliance Officer
2626 Howell Street, Suite 700
Dallas, Texas 75204

B.

This brochure supplement provides information about Richard Trubitt that supplements the LGT Financial Advisors LLC brochure; you should have received a copy of that brochure. Please contact Scott Gunn, Chief Compliance Officer if you did *not* receive LGT Financial Advisors LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Richard Trubitt is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Richard Trubitt was born in 1955. Mr. Trubitt graduated from University of Texas, Austin in 1976, with a Bachelor of Business Administration degree in Accounting. Mr. Trubitt has been an Advisory Representative of LGT Financial Advisors LLC since January of 2001 and was a Member until 2016. Mr. Trubitt has been a Partner of Lane Gorman Trubitt LLC since January of 1985. From June of 2009 to December of 2012, Mr. Trubitt was a registered representative of Girard Securities, Inc.

Mr. Trubitt has held the designation of Certified Public Accountant ("CPA") since January 1980. CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting,

attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous Code of Professional Conduct which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's Code of Professional Conduct within their state accountancy laws or have created their own.

In addition to the Code of Professional Conduct, AICPA members who provide personal financial planning services are required to follow the Statement on Standards in Personal Financial Planning Services (SSPFPS).

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. **Certified Public Accountant (CPA)**. Mr. Trubitt, in his individual capacity, is a certified public accountant, and may recommend his tax preparation and/or accounting services. Mr. Trubitt is a Partner with Lane Gorman Trubitt, PLLC, an affiliated accounting firm. To the extent a client determines to engage Mr. Trubitt to provide tax preparation and/or accounting services, such services shall be provided by Mr. Trubitt in his individual capacity as a certified public accountant, independent of Registrant. Registrant shall receive no portion of fees charged by Mr. Trubitt for such services.

The recommendation by Mr. Trubitt that a client engage his accounting services presents a conflict of interest, as the receipt of fees for accounting services may provide an incentive to recommend such services, rather than recommending such services based upon a particular client's needs. No client is under any obligation to utilize Mr. Trubitt for accounting services. Clients are reminded that they may elect to obtain accounting services recommended by the Registrant through other non-affiliated certified public accountants. **The Registrant's Chief Compliance Officer, Scott Gunn, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

Item 5 Additional Compensation

None.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Scott Gunn, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Gunn at (214) 461-1539.

Item 1 Cover Page

A.

Norman Scott Gunn, JD, CFP®

LGT Financial Advisors LLC

Brochure Supplement

Dated: March 31, 2022

Contact: Scott Gunn, Chief Compliance Officer
2626 Howell Street, Suite 700
Dallas, Texas 75204

B.

This brochure supplement provides information about Norman Scott Gunn that supplements the LGT Financial Advisors LLC brochure; you should have received a copy of that brochure. Please contact Scott Gunn, Chief Compliance Officer if you did *not* receive LGT Financial Advisors LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Norman Scott Gunn is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Norman Scott Gunn was born in 1969. Mr. Gunn graduated from Bucknell University in 1991, with a Bachelor of Arts degree in Economics and from Duke University School of Law in 1994. Mr. Gunn has been an Advisory Representative of LGT Financial Advisors LLC since June of 2009 and became a Member in 2016. Mr. Gunn has also been a registered representative of Investment Security Corporation, Inc. since November of 2012. Mr. Gunn became a Partner with Lane Gorman Trubitt, PLLC in January 2016 and has been a Principal of LGT Insurance Services, Inc. since January of 2009. From March of 2013 to December of 2019, Mr. Gunn was an investment advisor representative of Lido Advisors, LLC. From March of 2009 to December of 2012, Mr. Gunn was a registered representative of Girard Securities, Inc. From March 2008 through December 2008, Mr. Gunn was employed by EFG Corp. as a Principal, Advisory Representative and from March 2009 through June 2009 as an Advisory Representative. From March 2006 through February 2008, Mr. Gunn was a Financial Advisor with Merrill Lynch, Pierce, Fenner & Smith, Inc. From June of 2004

to March of 2006, Mr. Gunn was a registered representative of MML Investor Services, Inc. From June of 2004 to March of 2006, Mr. Gunn was an insurance agent for Mass Mutual Insurance. From October of 2003 to June of 2004, Mr. Gunn was self employed as an investment consultant and income tax preparer. From October of 2002 to October of 2003, Mr. Gunn was the Vice President of Financial Services for Ostrow Reisin Berk & Abrams LTD. From April of 2001 to October of 2002, Mr. Gunn was a financial planner for Harris Bank. From September of 1999 to January of 2001, Mr. Gunn was the Senior Manager of Personal Financial Planning at KPMG. From May of 1995 to August of 1999, Mr. Gunn was a financial planner at The Ayco Company, L.P.

Mr. Gunn has been a CERTIFIED FINANCIAL PLANNER™ professional since January of 2007. Certified Financial Planner Board of Standards, Inc. (“CFP Board”) owns the CFP® certification mark, the CERTIFIED FINANCIAL PLANNER™ certification mark, and the CFP® certification mark (with flame design) logo in the United States (these marks are collectively referred to as the “CFP® marks”). The CFP Board authorizes use of the CFP® marks by individuals who successfully complete the CFP Board’s initial and ongoing certification requirements.

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 86,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete a college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services or an accepted equivalent, including [completion of a financial plan development capstone course](#), and attain a Bachelor’s Degree from an accredited college or university. CFP Board’s financial planning subject areas include professional conduct and regulation, general principles of financial planning, education planning, risk management and insurance planning, investment planning, income tax planning, retirement savings and income planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 6 hours, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – CFP Board requires 6,000 hours of experience through the Standard Pathway, or 4,000 hours of experience through the Apprenticeship Pathway that meets additional requirements ; and
- Ethics – Agree to be bound by CFP Board’s *Code of Ethics and Standards of Conduct*, which put clients’ interest first; acknowledge CFP Board’s right to enforce them through its *Disciplinary Rules and Procedures*; comply with the *Financial Planning Practice Standards* which determine what clients should reasonably expect from the financial

planning engagement and complete a CFP® Certification Application which requires disclosure of an individual's background, including involvement in any criminal, civil, governmental, or self-regulatory agency proceeding or inquiry, bankruptcy, customer complaint, filing, termination/internal reviews conducted by the individual's employer or firm.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours accepted by the CFP Board every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – CFP® professionals agree to adhere to the high standards of ethics and practice outlined in CFP Board's Code of Ethics and Standards of Conduct and to acknowledge CFP Board's right to enforce them through its Disciplinary Rules and Procedures. The Code of Ethics and Standards of Conduct require that CFP Professionals provide financial planning services in the best interests of their clients.
- Certification Application – Properly complete a Certification Application to (i) acknowledge voluntary adherence to the [terms and conditions of certification with CFP Board](#) and (ii) disclose any involvement in criminal and civil proceedings, inquiries or investigations, bankruptcy filings, internal reviews and customer complaints.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

You may [verify an individual's CFP®](#) certification and background through the CFP Board. The verification function will allow you to verify an individual's certification status, CFP Board's disciplinary history and any bankruptcy disclosures in the past ten years. Additional regulatory information may also be found through [FINRA'S BrokerCheck](#) and the [SEC's Investment Adviser Public Disclosure databases](#), which are free tools that may be used to conduct research on the background and experience of CFP® professionals and those who held CFP® certification at one time, including with respect to employment history, regulatory actions, and investment-related licensing information, arbitrations, and complaints.

Mr. Gunn has been a Qualified Plan Financial Consultant since July of 2011. QPFC is the professional credential for financial professionals who sell, advise, market or support qualified retirement plans. The QPFC program provides an understanding of general retirement planning concepts, terminology, distinctive features of qualified plans and the role of retirement plan professionals. QPFC is not an entry-level credential. A candidate will be expected to demonstrate a general proficiency of plan administration, compliance, investment, fiduciary, and ethics issues.

Earning ASPPA's QPFC credential requires successful completion of two specific examinations. In addition to passing the required examinations, a candidate must meet one of the following requirements:

- Series 6, 7 or 65 license issued by the FINRA (formerly NASD) and two letters of reference demonstrating at least two years of retirement plan related experience; or
- State-life or annuity insurance license and two letters of reference demonstrating at least two years of retirement plan related experience; or
- Investment Advisor Representative or Registered Investment Advisor credential and two letters of reference demonstrating at least two years of retirement plan related experience; or
- Two letters of reference demonstrating at least three years of retirement plan related experience

All credentialed members must acquire 40 hours of Continuing Education (CE) credits (2 of these must be Ethics) in a two-year cycle as well as renew ASPPA Membership annually to retain credentials.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. **Registered Representative of ISC.** Mr. Gunn is a registered representative of Investment Security Corporation (“ISC”), an SEC Registered and FINRA member broker-dealer. Clients may choose to engage Mr. Gunn in his individual capacity as a registered representative of Investment Security Corporation, to implement investment recommendations on a commission basis.
1. **Conflict of Interest.** The recommendation by Mr. Gunn that a client purchase a securities commission product presents a *conflict of interest*, as the receipt of commissions may provide an incentive to recommend investment products based on commissions to be received, rather than on a particular client’s need. No client is under any obligation to purchase any commission products from Mr. Gunn. Clients are reminded that they may purchase investment products recommended by Mr. Gunn through other, non-affiliated broker dealers. **The Registrant’s Chief Compliance Officer, Scott Gunn, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**
 2. **Commissions.** In the event the client chooses to purchase investment products through *ISC*, brokerage commissions will be charged by *ISC* to effect securities transactions, a portion of which commissions shall be paid by *ISC* to Mr. Gunn. The brokerage commissions charged by *ISC* may be higher or lower than those charged by other broker-dealers. The securities commission business conducted by Mr. Gunn is separate and apart from Registrant’s investment management services discussed in the Registrant’s *Brochure*.

- B. **Lane Gorman Trubitt LLC**. Mr. Gunn, in his individual capacity, is also a Partner of Lane Gorman Trubitt LLC, and may recommend his tax preparation, planning and/or accounting services. Mr. Gunn spends approximately 2% of his time providing tax and/or accounting services.

The recommendation by Mr. Gunn that a client engage his tax planning, preparation or accounting services presents a conflict of interest, as the receipt of fees for the tax planning and/or accounting services may provide an incentive to recommend such services, rather than recommending such services based upon a particular client's needs. No client is under any obligation to utilize Mr. Gunn for accounting services. Clients are reminded that they may elect to obtain accounting services recommended by the Registrant through other non-affiliated certified public accountants. **The Registrant's Chief Compliance Officer, Scott Gunn, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

Licensed Insurance Agent. Mr. Gunn, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Mr. Gunn to purchase insurance products on a commission basis. **Conflict of Interest**: The recommendation by Mr. Gunn that a client purchase an insurance commission product presents a *conflict of interest*, as the receipt of commissions may provide an incentive to recommend insurance products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any insurance commission products from Mr. Gunn. Clients are reminded that they may purchase insurance products recommended by Mr. Gunn through other, non-affiliated insurance agents. **The Registrant's Chief Compliance Officer, Scott Gunn, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

Item 5 Additional Compensation

None.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Scott Gunn, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she

should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Gunn at (214) 461-1539.

Item 1 Cover Page

A.

Jeffrey Miller Bentley

LGT Financial Advisors LLC

ADV Part 2B, Brochure Supplement

Dated: March 31, 2022

Contact: Scott Gunn, Chief Compliance Officer
2626 Howell Street, Suite 700
Dallas, Texas 75204

B.

This Brochure Supplement provides information about Jeffrey Miller Bentley that supplements the LGT Financial Advisors LLC Brochure; you should have received a copy of that Brochure. Please contact Scott Gunn, Chief Compliance Officer, if you did *not* receive LGT Financial Advisors LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Jeffrey Miller Bentley is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

Jeffrey Miller Bentley was born in 1992. Mr. Bentley graduated from the University of Arkansas in 2015, with a Bachelor's degree in Agriculture, Food & Life Sciences. Mr. Bentley has been employed as a financial advisor of LGT Financial Advisors LLC since August of 2019. Mr. Bentley has also been a registered representative of Investment Security Corporation since November of 2019. From June of 2016 to July of 2019, Mr. Bentley was employed as a financial representative of Fidelity Brokerage Services LLC; from June of 2015 to May of 2016, Mr. Bentley was employed as an assistant-in-training of The Alotian Club; and from July of 2011 to May of 2015, Mr. Bentley was a student of the University of Arkansas.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. **Registered Representative of ISC.** Mr. Bentley is a registered representative of Investment Security Corporation (“ISC”), an SEC Registered and FINRA member broker-dealer. Clients may choose to engage Mr. Bentley in his individual capacity as a registered representative of Investment Security Corporation, to implement investment recommendations on a commission basis.
1. **Conflict of Interest** The recommendation by Mr. Bentley that a client purchase a securities commission product presents a *conflict of interest*, as the receipt of commissions may provide an incentive to recommend investment products based on commissions to be received, rather than on a particular client’s need. No client is under any obligation to purchase any commission products from Mr. Bentley. Clients are reminded that they may purchase investment products recommended by Mr. Bentley through other, non-affiliated broker dealers. **The Registrant’s Chief Compliance Officer, Scott Gunn, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**
 2. **Commissions.** In the event the client chooses to purchase investment products through *ISC*, brokerage commissions will be charged by *ISC* to effect securities transactions, a portion of which commissions shall be paid by *ISC* to Mr. Bentley. The brokerage commissions charged by *ISC* may be higher or lower than those charged by other broker-dealers. The securities commission business conducted by Mr. Bentley is separate and apart from Registrant’s investment management services discussed in the Registrant’s *Brochure*.
- B. **Licensed Insurance Agent.** Mr. Bentley, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Mr. Bentley to purchase insurance products on a commission basis. **Conflict of Interest:** The recommendation by Mr. Bentley that a client purchase an insurance commission product presents a *conflict of interest*, as the receipt of commissions may provide an incentive to recommend insurance products based on commissions to be received, rather than on a particular client’s need. No client is under any obligation to purchase any insurance commission products from Mr. Bentley. Clients are reminded that they may purchase insurance products recommended by Mr. Bentley through other, non-affiliated insurance agents. **The Registrant’s Chief Compliance Officer, Scott Gunn, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

Item 5 Additional Compensation

None.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Scott Gunn, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Gunn at (214) 461-1539.

Item 1 Cover Page

A.

Alisha Zianne Reilly, CFP®

LGT Financial Advisors LLC

Brochure Supplement

Dated: March 31, 2022

Contact: Scott Gunn, Chief Compliance Officer

2626 Howell Street, Suite 700

Dallas, Texas 75204

B.

This brochure supplement provides information about Alisha Zianne Reilly that supplements the LGT Financial Advisors LLC brochure; you should have received a copy of that brochure. Please contact Scott Gunn, Chief Compliance Officer if you did *not* receive LGT Financial Advisors LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Alisha Zianne Reilly is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Alisha Zianne Reilly was born in 1980. Mrs. Reilly graduated from Queen's University in 2004, with a Bachelor of Art degree in Psychology. Mrs. Reilly served as an investment advisor representative of LGT Financial Advisors LLC from January 2013 until June 2018. Mrs. Reilly has also served as a registered representative of Investment Security Corporation from November of 2012 until June 2018. Mrs. Reilly has also served as an investment adviser representative at Lido Advisors, Inc. from March of 2013 until June 2018 and an administrator of Lane Gorman Trubitt LLC from March of 2010 until June 2018. In July 2011 Mrs. Reilly became a licensed insurance agent at LGT Insurance Services Inc.

Ms. Reilly has been a CERTIFIED FINANCIAL PLANNER™ since June of 2015. The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and

standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 76,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must currently satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 6 hours, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not substantially involved in any non-investment related business activities.

Item 5 Additional Compensation

None.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Adviser's Act ("*Act*"). The Registrant's Chief Compliance Officer, Scott Gunn, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. Gunn at (214) 461-1539.