

PRIVACY NOTICE REGARDING CLIENT PRIVACY

Maintaining the trust and confidence of our clients is a high priority. That is why we want you to understand how we protect your privacy when we collect and use information about you, and the steps that we take to safeguard that information. This notice is provided to you on behalf of LGT Financial Advisors LLC.

Information We Collect: In connection with providing investment products, financial advice, or other services, we obtain non-public personal information about you, including:

- Information we receive from you on account applications, such as your address, date of birth, Social Security Number, occupation, financial goals, assets and income;
- Information about your transactions with us, our affiliates, or others; and
- Information received from credit or service bureaus or other third parties, such as your credit history or employment status.

Categories of Information We Disclose: LGT Financial Advisors LLC may disclose your non-public information as part of servicing and maintaining your policy, contract or account, and for other legally required and permitted purposes. We will not disclose personal information about current or former customers to non-affiliated third parties, except as permitted or required by law. We do not sell any personal information about you to any third party.

Categories of Parties to Whom We Disclose: We will not disclose information regarding you or your account with us, except under the following circumstances:

- To your authorized Registered Representative with Investment Security Corporation, a broker-dealer, and his or her manager; LGT Financial Advisors LLC permits Registered Representatives and Investment Advisers that terminate their affiliation with LGT Financial Advisors LLC to make copies of their client files.
- To Investment Security Corporation or their affiliates, to the extent permitted by law;
- To entities that perform services for us or function on our behalf, including financial service providers, such as a clearing broker-dealer, investment company, or insurance company;
- To consumer reporting agencies,
- To third parties who perform services or marketing on our behalf;
- To your attorney, trustee or anyone else who represents you in a fiduciary capacity;
- To our attorneys, accountants or auditors; and
- To government entities or other third parties in response to subpoenas or other legal process as required by law or to comply with regulatory inquiries.

Our Security Policy: We restrict access to nonpublic personal information about you to those individuals who need to know that information to provide products or services to you and perform their respective duties. We maintain physical, electronic, and procedural security measures to safeguard confidential client information.

Closed or Inactive Accounts: If you decide to close your account(s) or become an inactive customer, our Privacy Policy will continue to apply to you.

Complaint Notification: Please direct complaints to: **LGT Financial Advisors LLC 2626 Howell St., Suite 700, Dallas, Texas 75204, 214-461-1539.**

Changes to This Privacy Policy: If we make any substantial changes in the way we use or disseminate confidential information, we will notify you. If you have any questions concerning this Privacy Policy, please write to: **LGT Financial Advisors LLC 2626 Howell St., Suite 700, Dallas, Texas 75204, 214-461-1539.**

Form ADV, Part II Offering: Pursuant to the Securities and Exchange Commission Brochure Rule 204-3 of the Investment Advisers Act of 1940, Investment Advisers are required to offer a copy of LGT's Form ADV Part 2A Brochure to every advisory client on an annual basis. Please send a written request to your representative within 30 days if you are interested in receiving an updated copy.